## Vibrational Spectroscopy - Infrared and Raman

The number of normal modes of vibration of a molecule with N atoms can be determined from the displacements of each atom in the $x, y$, and $z$ directions. There are obviously 3 N such displacements, but 3 of these result in translation of the whole molecule in the $\mathrm{x}, \mathrm{y}$, and z directions, and 3 result in molecular rotations. Thus the molecule has $3 \mathrm{~N}-6$ normal modes of vibration. [ $3 \mathrm{~N}-5$ if the molecule is linear, since there is no rotation possible about the molecular axis. ]

The symmetry of each of the normal modes can easily be determined by Group Theory since the matrices that describe the atomic displacements have characters that form the basis for irreducible representations of the molecular point group.

We use a square planar molecule (point group $\mathrm{D}_{4 \mathrm{~h}}$ ) as an example
We represent the three degrees of freedom of each atom by 15 unit vectors, 3 on each stom.
We then determine the character of the $15 \times 15$ matrix that describes the motions of the atoms corresponding to the symmetry operations of the molecular point group

Each atomic $\mathrm{x}, \mathrm{y}, \mathrm{z}$ vector contributes to the character by +1 (if it remains in place after the symmetry operation), -1 (if it is inverted), $\cos \theta$ (if it is rotated by $\theta^{\circ}$; note that $\cos 90^{\circ}=0$ )
0 (if it is moved out of position),

|  | E | $2 \mathrm{C}_{4}$ | $\mathrm{C}_{2}$ | $2 \mathrm{C}_{2}{ }^{\prime}$ | $2 \mathrm{C}_{2}{ }^{\prime \prime}$ | i | $2 \mathrm{~S}_{4}$ | $\sigma_{\mathrm{h}}$ | $2 \sigma_{\mathrm{v}}$ <br> $(\mathrm{xz}, \mathrm{yz})$ | $2 \sigma_{\mathrm{d}}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\Gamma_{\mathrm{xyz}}$ | 15 | 1 | -1 | -3 | -1 | -3 | -1 | 5 | 3 | 1 |


$\Gamma_{\mathrm{xyz}}$ can be reduced to $\mathrm{A}_{1 \mathrm{~g}}+\mathrm{A}_{2 \mathrm{~g}}+\mathrm{B}_{1 \mathrm{~g}}+\mathrm{B}_{2 \mathrm{~g}}+\mathrm{E}_{\mathrm{g}}+2 \mathrm{~A}_{2 \mathrm{u}}+\mathrm{B}_{2 \mathrm{u}}+3 \mathrm{E}_{\mathrm{u}}$

The Character Table shows us that $\mathrm{A}_{2 \mathrm{~g}}$ and $\mathrm{E}_{\mathrm{g}}$ are rotations and $\mathrm{A}_{2 \mathrm{u}}$ and $\mathrm{E}_{\mathrm{u}}$ are translations

Therefore thr symmetries of the normal modes are
$A_{1 g}+B_{1 g}+B_{2 g}+A_{2 u}+B_{2 u}+2 E_{u}$
We can also discover which of these involve pure bond stretches by using the four bonds as a basis set

|  | E | $2 \mathrm{C}_{4}$ | $\mathrm{C}_{2}$ | $2 \mathrm{C}_{2}{ }^{\prime}$ | $2 \mathrm{C}_{2}^{\prime \prime}$ | i | $2 \mathrm{~S}_{4}$ | $\sigma_{\mathrm{h}}$ | $2 \sigma_{\mathrm{v}}$ <br> $(\mathrm{xz}, \mathrm{yz})$ | $2 \sigma_{\mathrm{d}}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\Gamma_{\text {bond }}$ | 4 | 0 | 0 | 2 | 0 | 0 | 0 | 4 | 2 | 0 |

$\Gamma_{\text {bond }}$ reduces to $A_{1 g}+B_{1 g}+E_{u}$

## Selection Rules

Consider a particular vibration


Transition from
$\mathrm{n}=0$ to $\mathrm{n}=1$ Fundamental
$\mathrm{n}=0$ to $\mathrm{n}=2$ First overtone
$\mathrm{n}=0$ to $\mathrm{n}=3$ Second overtone
etc
Assuming normal harmonic oscillation, the wave function for the i-th normal mode of order $n$ (vibrational quantum number $0,1,2 \ldots$. can be written as

$$
\Psi_{i}(n)=N_{i}{ }^{-(\alpha, / 2) \xi^{j}} H_{n}\left(\sqrt{\alpha_{i}} \xi_{\mathrm{i}}\right)
$$

where $\mathrm{N}_{\mathrm{i}}$ is a normalization factor
$\mathrm{H}_{\mathrm{n}}$ is a Hermite polynomial of order n

$$
\begin{aligned}
& \mathrm{H}_{0}(\mathrm{x})=1 \\
& \mathrm{H}_{1}(\mathrm{x})=2 \mathrm{x} \\
& \mathrm{H}_{2}(\mathrm{x})=4 \mathrm{x}^{2}-2 \\
& \mathrm{H}_{3}(\mathrm{x})=8 \mathrm{x}^{3}-12 \mathrm{x}
\end{aligned}
$$

$\xi_{\mathrm{i}}$ is the i-th normal coordinate

$$
\alpha_{i}=2 \pi v_{i} / h
$$

So the ground state wave-function is

$$
\Psi_{\mathrm{i}}(0)=\mathrm{N}_{\mathrm{i}} \mathrm{e}^{-(\alpha, / 2) \xi_{?}^{2}}
$$

Since normal coordinates are normalized, $\psi_{i}(0)$ is invariant under all symmetry operations and forms a basis for the totally symmetric representation.

For the excited states the wave functions take the symmetry of the n-th Hermite polynomial.

Fundamental transitions ( $\mathrm{n}=0$ to $\mathrm{n}=1$ ) give rise to IR and Raman absorption bands that are at least an order of magnitude greater than any other kind, and are of greatest practical interest.

For the case of the square-planar molecule $\mathrm{AB}_{4}$ we found the normal vibrational modes to be
$A_{1 g}+B_{1 g}+B_{2 g}+A_{2 u}+B_{2 u}+2 E_{u}$
These are the symmetries of the $\mathrm{n}=1$ vibrational wave-functions
Since the ground state vibrational wave-function has $\mathrm{A}_{1 \mathrm{~g}}$ symmetry, infrared-active transitions must be to those excited states that belong to the same representation as one or more of the Cartesian coordinates. This for $\mathrm{D}_{4 \mathrm{~h}}$ the allowed transitions are to $\mathrm{A}_{2 u}$ and $\mathrm{E}_{u}$ only - i.e. there are three observable fundamental bands (one of which, an $\mathrm{E}_{\mathrm{u}}$ mode, corresponds to pure bond stretching, see $\Gamma_{\text {bond }}$ above)

What about Raman spectroscopy?

The oscillating electric field of the radiation induces a dipole in the molecule

$$
\overrightarrow{\mathrm{M}}=\alpha \overrightarrow{\mathrm{E}}
$$

where $\alpha$ is the polarizability
For radiation of frequency $v_{0}$

$$
\begin{equation*}
\mathrm{M}=\alpha \mathrm{E}_{0} \cos \left(2 \pi v_{0} \mathrm{t}\right) \tag{1}
\end{equation*}
$$

For a vibrating molecule $\alpha$ is time-dependent
$\alpha=\alpha_{0}+\left(\frac{\partial \alpha}{\partial Q_{1}}\right)_{0} Q_{1}+\ldots$.
where $\mathrm{Q}_{1}$ is a displacement coordinate
For a molecular vibration of frequency $v_{1}$

$$
\begin{equation*}
\mathrm{Q}_{1}=\mathrm{Q}_{1}{ }^{0} \cos \left(2 \pi v_{1} \mathrm{t}\right) \tag{3}
\end{equation*}
$$

where $\mathrm{Q}_{1}{ }^{0}$ is the vibrational amplitude

Combining equations (1), (2), and (3)....
$M=E_{0} \cos \left(2 \pi v_{0} t\right)\left[\alpha_{0}+\left(\frac{\partial \alpha}{\partial Q_{1}}\right)_{0} Q_{1}^{0} \cos \left(2 \pi v_{1} t\right)\right]$

$$
\begin{aligned}
= & E_{0} \alpha_{0} \cos \left(2 \pi v_{0} t\right)+E_{0} Q_{1}^{\circ}\left(\frac{\partial \alpha}{\partial Q_{1}}\right)_{0} \cos \left(2 \pi v_{0} t\right) \cos \left(2 \pi v_{1} t\right) \\
= & E_{0} \alpha_{0} \cos \left(2 \pi v_{0} t\right) \\
& +\frac{1}{2} E_{0} Q_{1}^{\circ}\left(\frac{\partial \alpha}{\partial Q_{1}}\right)_{0}\left\{\cos \left[2 \pi\left(v_{0}+v_{1}\right) t\right]+\cos \left[2 \pi\left(v_{0}-v_{1}\right) t\right]\right\}
\end{aligned}
$$

The first term is the Rayleigh Scattering (no frequency change) and the second term describes the Antistokes $\left(v_{0}+v_{1}\right)$ and Stokes ( $v_{0}-v_{1}$ ) regions of the Raman Scattering. A quantum mechanical treatment correctly predicts that the antistokes lines are much less intense than the stokes lines.

Since $\vec{M}$ and $\vec{E}$ are both vector quantities, $\alpha_{0}$ is a second rank tensor.

$$
\left[\begin{array}{l}
\mathrm{M}_{x} \\
\mathrm{M}_{y} \\
\mathrm{M}_{z}
\end{array}\right]=\left[\begin{array}{lll}
\boldsymbol{\alpha}_{0 x x} & \boldsymbol{\alpha}_{0 x y} & \boldsymbol{\alpha}_{0 x z} \\
\boldsymbol{\alpha}_{0, y x} & \boldsymbol{\alpha}_{0, y} & \boldsymbol{\alpha}_{0, z z} \\
\boldsymbol{\alpha}_{0 x x} & \boldsymbol{\alpha}_{0 y y} & \boldsymbol{\alpha}_{0 z z}
\end{array}\right]\left[\begin{array}{l}
\mathrm{E}_{x} \\
\mathrm{E}_{y} \\
\mathrm{E}_{z}
\end{array}\right]
$$

For non-chiral molecules $\alpha_{0 y x}=\alpha_{0 x y}$, etc and with the proper choice of axes the tensor can be diagonalized, so that $\alpha_{0}$ can be represented by three principal components $\alpha_{0 x x}, \alpha_{0 y y}$, and $\alpha_{0 z z}$

Thus the polarizability operator has the symmetry of quadratic and binary functions of $x, y$, and $z$.

For $\mathrm{D}_{4 \mathrm{~h}}$ the Raman-allowed transitions are $\mathrm{A}_{1 g}, \mathrm{~B}_{1 g}$, and $\mathrm{B}_{2 g}$ Again, checking with $\Gamma_{\text {bond }}$, the $\mathrm{A}_{1 \mathrm{~g}}$ and $\mathrm{B}_{1 \mathrm{~g}}$ modes correspond to pure bond stretches.

So for a $D_{4 \mathrm{~h}} \mathrm{AB}_{4}$ molecule there are three IR and three Raman allowed fundamental transitions. Note that, for a centrosymmetric molecule, no Raman-active vibration is also IR-active, and vice-versa. (Exclusion Rule)

## Complications...

Configuration Interaction (Fermi Resonance) will occur if $v_{\mathrm{a}}$ (fundamental) $\approx v_{\mathrm{b}}$ (combination or overtone), and the symmetries of both are the same

Original example. $\mathrm{CO}_{2}$.
The three fundamental transitions have frequencies
667 (bend, IR-active),
1337 (symmetric stretch, Raman-active) and
$2350 \mathrm{~cm}^{-1}$ (antisymmetric stretch, IR-active)
The Raman spectrum of $\mathrm{CO}_{2}$ shows two bands of similar intensity at 1285 and 1388 $\mathrm{cm}^{-1}$.

The $1337-\mathrm{cm}^{-1}$ vibration has $\Sigma_{\mathrm{g}}{ }^{+}$symmetry (in $\mathrm{D}_{\mathrm{oh}}$ ) and the first overtone of the bending mode (expected at $2 \times 667=1334 \mathrm{~cm}^{-1}$ ) contains a component of $\Sigma_{\mathrm{g}}{ }^{+}$ symmetry.


The overtone band should be very weak, but "steals" intensity from the allowed fundamental band since the new excited states are linear combinations of the original excited states.

Many IR spectra are recorded on solids (as KBr pellets or hydrocarbon mulls). The site symmetry of a particular molecule in the crystal may alter the selection rules.

For example $\mathrm{CO}_{3}{ }^{2-}$ has $\mathrm{D}_{3 \mathrm{~h}}$ symmetry in solution. The totally symmetric $\mathrm{A}_{1 \mathrm{~g}}$ stretching mode is IR-inactive, but Raman-active.

In $\mathrm{CaCO}_{3}$ (calcite) the site-symmetry of $\mathrm{CO}_{3}{ }^{2-}$ is $\mathrm{D}_{3}$, but the totally-symmetric mode (nowA ${ }_{1}$ ) is still IR-inactive.

In $\mathrm{CaCO}_{3}$ (aragonite) the site-symmetry is $\mathrm{C}_{\mathrm{s}}$ and the totally-symmetric mode (now $\mathrm{A}^{\prime}$ ) is allowed and can be observed weakly.

Degenerate modes may be split in solids, e.g. the bending mode of $\mathrm{SCN}^{-}$has E symmetry, but in solid KSCN two bands are seen at 470 and $484 \mathrm{~cm}^{-1}$.

